

2163 #BT

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Fredrick J. Aley

Group Art Unit: 2163

Serial No. 09/747,862

Examiner:

Filed: December 22, 2000

Atty. Dkt. No.: 17410/8

For:

METHODS AND SYSTEMS FOR INTEGRATING MARKETING,

PRODUCTION, AND FINANCE

## CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 2021 p. September 20, 2002.

Patrick W. Rasche, Reg. No.: 37,916

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

1.	[ ]	Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]
2.	[ 🗸 ]	Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
3.	[ ]	Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
4.	[ ]	Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d [before Issue Fee payment]

Hon. Commissioner for Patents Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

- 6. \_\_\_ 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7. \_\_ 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

	8.	✓_	The required certification made in item 11 below; or
	9.		The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10.		37 CFR	1.97(d): [before issue fee payment]; and
	_	a)	This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch, Date of Allowance, and, Date of Allowance, Date of Allowance, and, Date of Allowance, Date of Allowance, and
	_	b)	The required Certification is stated in item 11 below.
11.	<u> </u>	Certific	ation
	12.	<u> </u>	Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
	13.	_	No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14.	✓_		charge all applicable fees associated with the submittal of this Information Disclosure ent to Deposit Account No. 01-2384. An original and two copies of this document is d.

Respectfully submitted,

Patrick W. Rasche Reg. No.: 37,916 Armstrong Teasdale LLP One Metropolitan Square, Suite 2600 St. Louis, MO 63012 (314) 621-5070

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SUPPLEMENTAL INFORMATION
DISCLOSURE

U.S. Patent and Trademark Office: US DEPARTMENT OF COMMERCE

Complete if Known

Application Number

09/747,862

Filing Date

December 22, 2000

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

	Complete if Known	
Application Number	09/747,862	
Filing Date	December 22, 2000	
First Named Inventor	Fredrick J. Aley	
Group Art Unit	2163	
Examiner Name		
Attorney Docket Number	17410/8	

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Examiner	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages
Initials		Number	Kind Code <sup>2</sup> (if known)	Of Cited Document	MM-DD-YYYY	or Relevant Figures Appear
	ĀΑ	6,236,994 B1		Swartz, et al.	05/22/2001	
	AB	5,832,456		Fox, et al.	11/03/1998	
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				FOR	REIGN PATENT DOCUM	ENTS	· · · · · · · · · · · · · · · · · · ·	
		1	Foreign Patent Docur	ment	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages	T
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	or Relevant Figures Appear	Ļ
	FA	JP	407205574 '		Hayashibara	08/08/1995		$\perp$
	FB	KR	2001045776		Lee	06/05/2001		<u>L</u>

	<u>.</u>	OTHER PRIOR ART NON PATENT LITERATU	RE DOCUMENTS		
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	ВА	International Search Report, dated September 12, 2002; International Filing Date 08/28/2001.	onal Application No.: PCT/US01/26756; /		
•	ВВ	US 2001/0013004 A1; HARIS, et al.; 08/09/2001	RECEIVED SEP 2 6 2002		
•	вс	US 2002/0026347 A1; 02/23/2002	GROUP 3600		

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a checkmark here if English language Translation is attached.